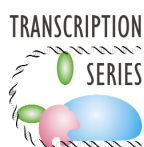


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TBP-associated factors (TAF_{II}s): multiple, selective transcriptional mediators in common complexes



Michael R. Green

Transcription of eukaryotic structural genes requires the assembly of RNA polymerase II and the general transcription factors (GTFs) on the promoter to form a pre-initiation complex (PIC). Among these, TFIID is the major sequence-specific DNA-binding component; the other GTFs enter the PIC primarily through protein–protein interactions. TFIID is composed of the TATA-box-binding protein (TBP) and multiple TBP-associated factors (TAF_{II}s). Unexpectedly, TAF_{II}s have also been found in other multi-subunit complexes involved in transcription. Whereas TBP is a general transcription factor, a variety of *in vivo* studies have demonstrated that TAF_{II}s are highly promoter selective. Here I review studies on the role of TAF_{II}s in genome-wide transcription and their mechanism of action.

FACTORS INVOLVED IN the accurate transcription of eukaryotic structural genes by RNA polymerase II can be classified into two groups. First, general transcription factors (GTFs) are necessary and can be sufficient for accurate transcription initiation *in vitro* (reviewed in Ref. 1). These basic factors include RNA polymerase II itself and at least six GTFs: TFIID, TFIIA, TFIIB, TFIIE, TFIIF and TFIIH. The GTFs assemble on the promoter in an ordered fashion to form a pre-initiation complex (PIC). Transcriptional activity is greatly stimulated by the second class of factors, promoter-specific activator proteins (activators). In general, cellular activators

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are sequence-specific DNA-binding proteins whose recognition sites are present within the vicinity of their target promoters. A typical activator contains a promoter-targeting region, which comprises generally a sequence-specific DNA-binding domain and a separate activation region (reviewed in Ref. 2).

Binding of TFIID to the TATA box is the initial step of PIC assembly and is critical for the rate and efficiency of this process. TFIID was originally purified as an activity required to reconstitute a basal RNA polymerase II *in vitro* transcription reaction. The high molecular weight of partially purified TFIID immediately suggested that it was a multi-subunit complex. The purification and cloning of TBP led to the subsequent identification and cloning of other TFIID subunits initially from humans and *Drosophila*. These higher eukaryotic

TFIIDs were found to consist of TBP and at least 8–12 tightly bound subunits, the TBP-associated factors (TAF_{II}s; reviewed in Ref. 3).

Early studies suggested that TFIID from yeast was a single polypeptide, TBP, that is highly homologous to its human counterpart. However, subsequent studies involving affinity purification, co-immunoprecipitation with an α -TBP antibody and database searches indicated that like higher eukaryotes, yeast also contained TAF_{II}s (Ref. 3).

Figure 1 compares yeast TAF_{II}s (yTAF_{II}s) with those of higher eukaryotes. In almost all instances, a yeast TAF_{II} has a higher eukaryotic TAF_{II} homologue. Thus, like all other components of the transcription machinery, TAF_{II}s have been highly conserved. Studies in yeast have shown that with the exception of TAF30, all yTAF_{II}s are essential for viability. Thus, each essential TAF_{II} must perform at least one obligate, non-redundant function.

Sequence homologies, biochemical studies and structural analysis have revealed that a subset of TAF_{II}s have properties reminiscent of non-linker histones (i.e. the so-called core histones that form the nucleosome core)³. Specifically, *Drosophila* TAF_{II}40 (dTAF_{II}40), human TAF_{II}31 (hTAF_{II}31) and yTAF_{II}17 resemble histone H3; dTAF_{II}62, hTAF_{II}80 and yTAF_{II}60 resemble histone H4; and dTAF_{II}30, hTAF_{II}20 and yTAF_{II}68 resemble histone H2B. To date, a histone H2A homologue has not been identified. It has been proposed that these histone-like TAF_{II}s assume an octamer-like structure comprising two dimers of the histone H2B-like TAF_{II} complexed to a tetramer of the histone H3- and H4-like TAF_{II}s (Ref. 3). In addition, hTAF_{II}18 and hTAF_{II}28 heterodimerize through a histone-fold motif and have also been categorized as histone-like TAF_{II}s (Ref. 4).

TAF_{II}s in complexes other than TFIID

In both yeast and human cells, TAF_{II}s have been found in large nuclear complexes besides TFIID. In yeast, the three

Human	<i>Drosophila</i>	Yeast	Essential	Features
250	250/230	145/130	→ Yes	HAT G1/S arrest
CIF150	150	TSM1	→ Yes	G2/M arrest
130/135	110			
100	80/85	90	→ Yes	G2/M arrest
70/80	60/62	60	→ Yes	Histone H4 similarity
31/32	40/42	17	→ Yes	Histone H3 similarity
20	30 α /28	68/61	→ Yes	Histone H2B similarity
15	22			
28	30 β	40	→ Yes	Histone H3 similarity
68				
55		67	→ Yes	
30		23/25	→ Yes	G1/S arrest
		47	→ Yes	
		30	→ No	
18		19	→ Yes	Histone H4 similarity
105				B-cell-specific

Figure 1

Comparison of yeast and higher eukaryotic TAF_{II}s. Homologues of TAF_{II}s are arranged in rows, human TAF_{II}s (blue), *Drosophila* TAF_{II}s (red), yeast TAF_{II}s (green). TAF_{II}s required for viability in yeast are indicated as essential. HAT, histone acetyltransferase.

histone-like TAF_{II}s (yTAF_{II}17, yTAF_{II}60 and yTAF_{II}68) and two other non-histone-like TAF_{II}s (yTAF_{II}25 and yTAF_{II}90) are integral components of the Spt-Ada-Gcn5-acetyltransferase (SAGA) complex⁵. A human TAF_{II}-containing complex, termed STAGA, is the likely human homologue of yeast SAGA (Ref. 6). Human cells also contain a p300/CBP-associated factor (PCAF) complex that includes several histone-like TAF_{II}s and other subunits bearing TAF_{II} homologies (Ref. 7). Significantly, SAGA, STAGA and PCAF each contain a single subunit with a histone acetyltransferase (HAT) activity, GCN5 and PCAF, respectively. Finally, a TBP-free TAF_{II}-containing complex (TFTC) has been reported, which also

contains a human GCN5 subunit (Ref. 8). The function of TAF_{II}s in these other complexes is unknown, but has substantially complicated the interpretation of experiments analysing TAF_{II} function; if a TAF_{II} is found to have a particular activity, it is unclear in which complex that TAF_{II} is acting.

The finding that different multi-subunit complexes share common components also raises the question as to whether the functions of these complexes are unique or overlapping. For TFIID and SAGA this question has recently been investigated by genome-wide transcription analysis (see below). The expression of most genes requires one or more of the common

TAF_{II} subunits, demonstrating that functions of SAGA and TFIID are required for expression of most genes. Most importantly, the histone acetylase components of SAGA and TFIID, Gcn5 and TAF_{II}145, respectively, are functionally redundant for transcription of specific genes; therefore, a large fraction of yeast genes are expressed through the action of either complex.

Requirement of TAF_{II}s for transcription

The transcriptional requirements for TAF_{II}s was assessed originally *in vitro* using transcription systems reconstituted from purified components³. These early studies found that TBP (which lacks TAF_{II}s) could support a 'basal' transcription reaction involving only the GTFs, but that this basal level of transcription could not be stimulated by an activator (activated transcription). Instead, TFIID (which contains TAF_{II}s) could support both basal and activated transcription. Thus, *in vitro* one or more TAF_{II}s appeared to have an obligatory 'coactivator' activity. Based upon this observation, *in vitro* interactions between activation domains and isolated TAF_{II}s, and TFIID reconstitution studies, it was proposed that TAF_{II}s are the obligatory targets of activators³.

The discovery of yTAF_{II}s provided the opportunity to assess the role of these factors in living cells. In these *in vivo* studies TAF_{II}s have been inactivated by several experimental approaches including temperature-sensitive mutations⁹⁻¹⁵, conditional depletion^{9,10,14} and targeted protein degradation^{16,17}. The effects on transcription following yTAF_{II} inactivation was then determined by analysing specific, selected genes, total poly(A)⁺ mRNA, or the whole genome using high-density oligonucleotide arrays. The picture that has emerged from these studies is summarized in Fig. 2 and discussed below.

The striking and most important conclusion of these studies is that yTAF_{II}s are not universally required for transcription as are GTFs [e.g. the large subunit of RNA polymerase II, Srb4 and Kin28 (Ref. 15)]. Rather, each yTAF_{II} is required for the expression of a characteristic subset of genes, ranging from 3% to 67% of the genome. The broadest requirement is for yTAF_{II}17, one of the histone-like TAF_{II}s. An initial study suggested, based upon analysis of a small number of genes, that all histone-like TAF_{II}s have relatively broad transcriptional requirements, like yTAF_{II}17 (Ref. 14). However, genome-wide transcription analysis has revealed

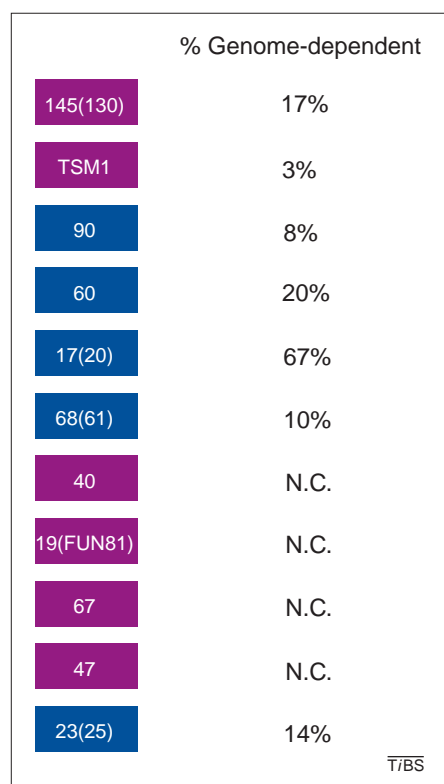


Figure 2

Dependence of genome-wide transcription on yTAF_{II}s. Percentage of yeast genes displaying a loss of transcription following inactivation of the indicated yTAF_{II}, compared with the transcriptional loss following inactivation of RNA polymerase II using the *rpb1-1* mutant allele, is indicated. (Ref. 15 and T.I. Lee *et al.*, unpublished). TFIIID-specific yTAF_{II}s (purple), yTAF_{II}s in both TFIIID and SAGA (blue). N.C., not completed.

substantial diversity, even among the histone-like TAF_{II}s (see below).

To date, seven yTAF_{II}s have been subjected to whole-genome expression analysis^{13,15}. As a representative example, the relationship between three of these yTAF_{II}s is presented as Venn diagrams in Fig. 3. Significantly, each of these yTAF_{II}s controls a characteristic set of genes. Consider, for example, yTAF_{II}145 and yTAF_{II}60: there are genes that are yTAF_{II}145-dependent and yTAF_{II}60-independent, genes that are yTAF_{II}60-dependent and yTAF_{II}145-independent, genes that are dependent upon both of these yTAF_{II}s, and genes that do not require either of these yTAF_{II}s.

The genetic intractability of higher eukaryotes has made it challenging to assess whether the results in yeast are also applicable to higher eukaryotes. However, results from the limited studies of TAF_{II} function in mammalian cells are similar to those in yeast. For example,

mammalian cell lines harbouring a temperature-sensitive TAF_{II}250 allele do not have a global defect in RNA polymerase II transcription under non-permissive conditions^{18,19}. Recently, mouse TAF_{II}30, the homologue of yTAF_{II}25 (see Fig. 1), has been subjected to targeted homologous recombination in mouse embryonic stem cells producing cells deficient in TAF_{II}30 (Ref. 20). The results indicate that TAF_{II}30 is required only for transcription of a subset of genes.

In general, higher eukaryotic TAF_{II}s are distributed ubiquitously, although, in several cases, they are expressed in a tissue-specific fashion. For example, there is a B-cell-specific form of hTAF_{II}130 (Ref. 21). The most interesting example is the *Drosophila* gene *cannonball* (*can*), a spermatocyte-specific form of dTAF_{II}80 (M. Fuller, pers. commun.) required for transcription of a group of stage- and tissue-specific target genes involved in normal spermatid differentiation²². Significantly, loss of *can* function leads to a G2/M arrest²³, analogous to the results with the yeast homologue yTAF_{II}90 (Ref. 9).

TAF_{II}s and cell-cycle progression

Inactivation of some yTAF_{II}s results in distinct cell-cycle phenotypes^{9,10}. Specifically, inactivation of yTAF_{II}145 leads to a G1/S arrest¹⁰, analogous to the results with its mammalian homologue, TAF_{II}250 (Ref. 24). Mouse cells lacking TAF_{II}30 also arrest in G1/S (Ref. 20). Conversely, following inactivation of yTAF_{II}90 (Ref. 9), yTAF_{II}150 (TSM1) (Ref. 10) or hTAF_{II}150 (Ref. 25), cells arrest in G2/M. These observations raise the possibility that TAF_{II}s might have a specialized role in the transcriptional control of the cell cycle and cellular-growth state and further suggest that individual yTAF_{II}s mediate cell-cycle progression through unique activities or targets. Consistent with this idea, yTAF_{II}145 is required for transcription of G1- and B-type cyclins¹¹, as well as other genes involved in cell-cycle progression¹⁵. Similarly, mouse cells lacking TAF_{II}30 have impaired expression of cyclin E (Ref. 20), which could explain the G1/S arrest.

Intriguingly, the TAF_{II}s themselves appear to be regulated by the cellular-growth state. For example, as yeast-cell growth slows down in response to high density, the levels of several yTAF_{II}s and TBP decrease dramatically, whereas other GTFs are unaffected¹¹. Another example is as cells enter mitosis, RNA-polymerase-II-directed transcription is

shut off by a mechanism involving mitosis-specific phosphorylation of TAF_{II}s and TBP (Ref. 26).

How do TAF_{II}s selectively promote transcription?

Although the whole-genome expression studies have clearly revealed that individual TAF_{II}s function as promoter-selectivity factors, their mechanism of action remains to be elucidated. The three major proposed mechanisms of action are that TAF_{II}s: (1) serve as activator-binding sites, (2) mediate core-promoter recognition, or (3) provide an essential catalytic activity.

The original *in vitro* studies on TAF_{II}s led to the so-called 'coactivator hypothesis', which posited that TAF_{II}s are the obligatory targets of activators and that different activator-coactivator combinations selectively regulate transcription. The primary support for this proposal are numerous studies that have reported interactions between activation domains and isolated TAF_{II}s (Ref. 3). However, an isolated TAF_{II} is not a physiologically relevant entity, and it will be important to demonstrate that such activation domain-TAF_{II} interactions also occur with the physiologically relevant TFIID complex. The apparent, direct interaction between activators and TAF_{II}s could, for example, involve surfaces of TAF_{II}s that are not exposed in the TFIID complex. The finding that the upstream-activating sequence (UAS) is the region that confers yTAF_{II}17-dependence^{14,17} is also consistent with, but

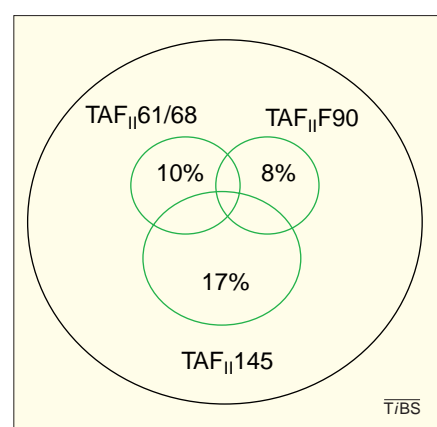


Figure 3

Comparative roles of yTAF_{II}145, yTAF_{II}61/68 and yTAF_{II}90 in genome-wide transcription. Percentage of yeast genes displaying a loss of transcription following inactivation of the indicated yTAF_{II}, compared with the transcriptional loss following inactivation of RNA polymerase II using the *rpb1-1* mutant allele, is displayed as a Venn diagram (Ref. 15 and T.I. Lee *et al.*, unpublished).

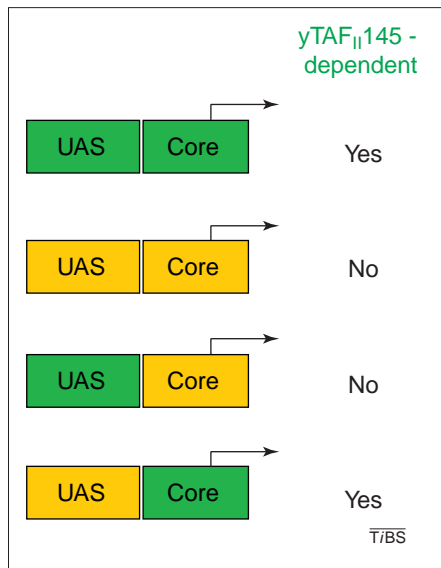


Figure 4

Experimental strategy for mapping the $yTAF_{II}145$ -dependent promoter element. For detailed experimental procedures and results, see Ref. 12. Core, portion of promoter bearing the TATA box and initiation site; UAS, upstream activating sequence.

does not prove, an activator- $yTAF_{II}17$ interaction.

The second proposed mechanism of action is core-promoter recognition. Strong *in vivo* support for this proposal comes from studies with $yTAF_{II}145$. The portion of the gene that conferred $yTAF_{II}145$ -dependence has been delineated¹². The experimental strategy used was to construct a series of chimeric promoters by fusing different combinations of UASs, which harbour the activator-binding sites, with core promoters, the portion of the promoter that is recognized by the RNA polymerase II general transcription machinery (Fig. 4). Unexpectedly, the portion of these genes that rendered them $yTAF_{II}145$ -dependent was the core promoter, not the UAS. In fact, a $yTAF_{II}145$ -dependent promoter retained the $yTAF_{II}145$ requirement, even when its transcription was artificially driven in the absence of an activator. Taken together, these results indicate that $yTAF_{II}145$ functions in recognition and selection of core promoters by a mechanism not involving upstream activators. Significantly, in mammalian cells, temperature-sensitive inactivation of $TAF_{II}250$, the homologue of $yTAF_{II}145$, leads to loss of transcription of the cyclin D1 gene^{27,28}. As in the case of yeast, it is the core promoter, and not the activator-binding sites, that is responsible for $TAF_{II}250$ -dependence²⁸.

The results with $yTAF_{II}145$ emphasize how, in addition to the activators, the core promoter can contribute to transcriptional regulation. In this regard, a variety of studies have demonstrated differential responsiveness of various core promoters to upstream activators, perhaps reflecting differences in the rate-limiting step(s) for transcription activation.

Although the *in vivo* results clearly indicate that the core promoter can dictate TAF_{II} -dependence, the basis for recognition and selection remains to be elucidated. A variety of studies have revealed interactions between TAF_{II} s and the core promoter. First, whereas TBP gives rise to a discrete footprint that covers only the TATA box, the DNase I footprint of TFIID on some core promoters is substantially larger³. This difference in footprinting is highly suggestive of TAF_{II} -DNA contacts. The occurrence of this extended footprint on only some promoters further suggests differential affinity of TAF_{II} s for various core promoters. Second, site-specific DNA crosslinking studies have directly demonstrated TAF_{II} -core promoter contacts. For example, Oelgeschlager *et al.* have shown that several TAF_{II} s are engaged in position-dependent contacts with the core promoter²⁹. Burke and Kadonaga have shown specific photocrosslinking of $dTAF_{II}60$ and $dTAF_{II}40$ to the downstream promoter element (DPE)³⁰. Third, in addition to the TATA box, several of the other core promoter elements appear to function through interactions with TAF_{II} s (Refs 30–34). Fourth, binding-site selection experiments indicate that TFIID interacts with the initiator element (Inr) in a sequence-specific fashion. Remarkably, this selected sequence matches a consensus deduced by comparison of *bona fide Drosophila* promoters³⁵. Both $dTAF_{II}150$ and $dTAF_{II}250$ have been proposed to contact the Inr (Refs 32,34,36). Finally, the *in vitro* DNA-binding specificity of TBP- TAF_{II} complexes differs from that of TBP alone³⁷, which is most readily explained by TAF_{II} -DNA contacts.

How do interactions between TAF_{II} s and the core promoter facilitate promoter selection? The first and most likely possibility is that the TAF_{II} -core promoter contacts affect the affinity or specificity of TFIID for certain core promoters. This could be particularly important *in vivo* where the amount of TFIID is probably limiting relative to the 5000–6000 actively transcribed genes. Second, TAF_{II} -core promoter contact

might introduce allosteric effects in TFIID that modulate its activity and hence transcription. DNA-binding-induced conformational changes have been observed with several transcriptional activators, in particular nuclear hormone receptors³⁸. Third, differences in chromatin structure of core promoters might affect TFIID binding and TAF_{II} s could be required differentially. In this regard, $hTAF_{II}250$ and its yeast homologue $yTAF_{II}145$ have intrinsic histone acetyltransferase (HAT) enzyme activity³⁹, which could act to overcome a repressive nucleosomal structure.

A third proposal is that TAF_{II} s provide a catalytic activity required for transcription. As mentioned above, $hTAF_{II}250$ and its yeast homologue $yTAF_{II}145$ have an intrinsic histone acetyltransferase (HAT) enzyme activity³⁹. Although the role for the HAT activity is not clear, and there has been no direct demonstration that the HAT activity is required for function, the evolutionary conservation is highly suggestive of an important role.

$hTAF_{II}250$ has also been reported to possess a bipartite serine/threonine kinase activity that can autophosphorylate and transphosphorylate the large subunit of TFIIF (Refs 40,41). However, unlike the HAT domain, the kinase domain has not been evolutionarily conserved. Furthermore, a $hTAF_{II}250$ derivative completely lacking the kinase domains can rescue the temperature-sensitive phenotype of cell lines bearing a temperature-sensitive mutation in endogenous $hTAF_{II}250$ (Ref. 42).

In addition to their well-established roles as positive transcription factors, TAF_{II} s have been reported to have several other activities. For example, to couple transcription to RNA processing, TAF_{II} s might participate in recruiting activities such as the cleavage polyadenylation specificity factor (CPSF) to TFIID (Ref. 43). Another, somewhat paradoxical activity is negative regulation of TBP binding by $dTAF_{II}230$, the TAF_{II} that makes the primary contact with TBP. This negative regulatory activity results from an interaction between the $dTAF_{II}230$ N terminus and the DNA-binding surface of TBP (Ref. 44).

In vitro approaches will be required ultimately to elucidate the detailed mechanisms of TAF_{II} action. In this regard, several *in vitro* systems in yeast^{45,46} and mammals^{47,48} have been described in which activated transcription in the absence of TAF_{II} s has been achieved. The next step will be to develop an *in vitro* system that faithfully

recapitulates the selective TAF_{II}-dependence observed *in vivo*.

In summary, there is evidence to support each of the three main proposals for the mechanism of action of TAF_{II}. These three mechanisms are not mutually exclusive, and it seems likely that different TAF_{II}s will function by distinct mechanisms.

Implications for the function of multicomponent transcription complexes

Multisubunit transcription complexes have been generally believed to mediate a single activity, for example PIC assembly for TFIID and chromatin modification for SAGA. The results with yTAF_{II}s underscore the unexpected diversity of the individual subunits of these complexes. There are also striking differences in the properties of individual subunits of other complexes, such as the Srb/Mediator complex, both with regard to requirements for viability and transcriptional effects⁴⁹. These observations raise the possibility that it could be the intrinsic activity of the subunits, rather than their presence in a single complex, that is critical. Perhaps, components such as TAF_{II}s are present in a complex not to provide a common activity, but for other reasons, such as co-regulation or efficient co-recruitment to the promoter.

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